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| Application Data Sheet 37 CFR 1.76 Application Number Title of Invention METHOD AND APPARATUS FOR COMPLETING, SECURING AND CONDUCTING AN E-COMMERCE TRANSACTION The application data sheet is part of the provisional application for which it is being submitted. The following form contains the bibliographic data arranged in a forms specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76. This occurrent may be completed electronically and submitted to the Office in electronic format using the Electronic Filling System (EFS) or the occurrent may be completed electronically and submitted to the Office in electronic format using the Electronic Filling System (EFS) or the occurrent may be printed and incuded in a page filled application. Secrecy Order 37 CFR 5.2 Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper fillers only. Applications that fall under Secrecy Order may not be filed electronically.) Applicant Information: Remove: Applicant Authority © Inventor QLegal Representative under 35 U.S.C. 117 Party of Interest under 35 U.S.C. 118 Prefix Given Name Middle Name Family Name Suffix Residence Information (Select One) Q. U.S. Residency Non U.S. Residency Active U.S. Military Service City DALLAS State/Province TX Country Residence U.S. Citizenship under 37 CFR 1.41(b) U.S. Applicant 2 Applicant Authority © Inventor QLegal Representative under 35 U.S.C. 117 Party of Inderest under 35 U.S.C. 118 Residence Information (Select One) Q. U.S. Residency Non U.S. Residency Active U.S. Military Service City CARROLLTON State/Province TX Country of Residence U.S. Mailing Address of Applicant Address 1 Address 2 City CARROLLTON State/Province TX Country of Residence U.S. City CARROLLTON State/Province TX Country of Residence U.S. Correspondence Information Legicing the Additional Inventor Information blocks may be g | | S.Idel the I | apor, sin reduction | ., 01 10 | , poi | | | portu | to a concent | | | Sometime of Falla Civil Col | |
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| Title of Invention METHOD AND APPARATUS FOR COMPLETING, SECURING AND CONDUCTING AN E-COMMERCE TRANSACTION The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76. This occurrent may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application. Secrecy Order 37 CFR 5.2 Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.) Applicant 1 Applicant 1 Applicant 1 Applicant Authority • Inventor OLegal Representative under 35 U.S.C. 117 Party of Interest under 35 U.S.C. 118 Pertix Given Name Middle Name Family Name Suffix JEFFRY JOVAN PHILYAW PHILYAW Residence Information (Select One) • US Residency Non US Residency O. Active US Military Service Citizenship under 37 CFR 1.41(b) i US Mailing Address of Applicant: Address 1 S968 WEST NORTH-WEST HIGH-WAY Address 2 #1813 State/Province TX Country of Residence US Postal Code 75225 Country US Residence Information (Select One) • US Residency Non US Residence US Prefix Given Name Middle Name Family Name Suffix Applicant Authority • Inventor OLegal Representative under 35 U.S.C. 117 Party of Interest under 35 U.S.C. 118 Remove Party of Interest under 35 U.S.C. 118 Remove Party of Interest under 35 U.S.C. 118 Remove Country of Residence US Residence Information (Select One) • US Residency Non US Residency Active US Military Service US Residence Information (Select One) • US Residency Non US Residency US Residence Information (Select One) • US Residency Non U | Δnnli | Application Data Sheet 37 CER | | | Attorney Docket Number | | | PHLY- | 24,732 | | | | |
| The application data absence is part of the provisional or representative under 35 U.S.C. 117 Party of Interest under 35 U.S.C. 118 Secrecy Order 37 CFR 5.2 Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.) Applicant Information: Applicant Authority • Inventor Legal Representative under 35 U.S.C. 117 Party of Interest under 35 U.S.C. 118 Prefix Given Name Middle Name Family Name Suffix JEFFRY JOVAN PIHLYAW Address 1 Seas WEST NORTHWEST HIGHWAY Address 2 #1813 City DALLAS State/Province TX Country of Residence TX Postal Code 75225 Country US Applicant Authority • Inventor Legal Representative under 35 U.S.C. 117 Party of Interest under 35 U.S.C. 118 Remove State/Province TX Country of Residence US City DALLAS State/Province TX Country of Residence US City DALLAS State/Province TX Country of Residence TX Postal Code 75225 Country US Applicant Authority • Inventor Legal Representative under 35 U.S.C. 117 Party of Interest under 35 U.S.C. 118 Remove Middle Name Family Name State/Province TX Applicant Authority • Inventor Legal Representative under 35 U.S.C. 117 Party of Interest under 35 U.S.C. 118 Remove State/Province TX Country US Applicant Authority • Inventor State/Province TX Country of Residence US Applicant Authority • Inventor State/Province TX Country of Residence US Applicant Authority • Inventor State/Province TX Country of Residence US Applicant Authority • Inventor State/Province TX Country of Residence US Applicant Authority • Inventor State/Province TX Country of Residence US Applicant Authori | Дри | oation ba | ta Officet of | OI IX | 1.70 | Applicat | tion Nu | mbe | r | | | | |
| bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76. This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filted application. Secrecy Order 37 CFR 5.2 Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filters only. Applications that fail under Secrecy Order may not be filted electronically.) Applicant Information: Applicant Authority © Inventor Legal Representative under 35 U.S.C. 117 Party of Interest under 35 U.S.C. 118 Prefix Given Name Middle Name Family Name Suffix | Title of | Invention | | | RATUS | FOR COM | /IPLETIN | IG, S | SECURIN | G AND (| CONDUCTI | NG AN E-COMMER | RCE |
| Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.) Applicant Information: Applicant Authority ● Inventor | bibliogra _l This doc | bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76. This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the | | | | | | | | | | | |
| Applicant Information: Applicant Authority Inventor OLegal Representative under 35 U.S.C. 117 OParty of Interest under 35 U.S.C. 118 Applicant Authority Inventor OLegal Representative under 35 U.S.C. 117 OParty of Interest under 35 U.S.C. 118 Applicant Authority Inventor OLegal Representative under 35 U.S.C. 117 OParty of Interest under 35 U.S.C. 118 Applicant Authority Inventor OLegal Representative under 35 U.S.C. 117 OParty of Interest under 35 U.S.C. 118 Applicant Remove Suffix Suffix Suffix Oparty of Residence US Bailing Address of Applicant: | Secre | cy Orde | r 37 CFR | 5.2 | | | | | | | | | |
| Applicant 1 | | | • • | | | | | | | • | | • | suant to |
| Applicant Authority Inventor Legal Representative under 35 U.S.C. 117 Party of Interest under 35 U.S.C. 118 Prefix Given Name JEFFRY JOVAN PHILLYAW PHILLYAW Residence Information (Select One) | Applic | cant Info | rmation: | | | | | | | | | | |
| Prefix Given Name | Applic | ant 1 | | | | | | | | | | Remove | |
| Prefix | Applic | ant Authori | ty • Inventor | | gal Rep | resentative | e under | 35 L | J.S.C. 117 | 7 | Party of In | terest under 35 U.S | .C. 118 |
| Residence Information Select One © US Residency | | | | • | Mi | ddle Nan | ne | | | Family | y Name | | Suffix |
| City DALLAS State/Province TX Country of Residence i US Citizenship under 37 CFR 1.41(b) i US Mailing Address of Applicant: Address 1 5968 WEST NORTHWEST HIGHWAY Address 2 #1813 City DALLAS State/Province TX Postal Code 75225 Countryi US Applicant 2 Remove Applicant Authority Inventor Legal Representative under 35 U.S.C. 117 Party of Interest under 35 U.S.C. 118 Prefix Given Name Middle Name Family Name Suffix Residence Information (Select One) US Residency Nor US Residence i US Citizenship under 37 CFR 1.41(b) i US Citizenship under 37 CFR 1.41(b) i US Mailing Address of Applicant: Address 1 3438 LIVINGSTON LANE Address 2 City CARROLLTON State/Province TX Country of Residence i US Citizenship under 37 CFR 1.41(b) i US Mailing Address of Applicant: Address 2 City CARROLLTON State/Province TX Country of Residence i US Address 1 3438 LIVINGSTON LANE Address 2 City CARROLLTON State/Province TX Country i US All Inventors Must Be Listed - Additional Inventor Information blocks may be generated within this form by selecting the Add button. Correspondence Information: | | JEFFRY | | | JC | VAN | | | | PHILY | AW | | |
| Citizenship under 37 CFR 1.41(b) i US Mailing Address of Applicant: Address 1 5968 WEST NORTHWEST HIGHWAY Address 2 #1813 City DALLAS State/Province TX Postal Code 75225 Countryi US Applicant 2 Remove Applicant Authority ® Inventor OLegal Representative under 35 U.S.C. 117 Party of Interest under 35 U.S.C. 118 Prefix Given Name Mailing Name Family Name Suffix Residence Information (Select One) © US Residency Active US Military Service City CARROLLTON State/Province TX Country of Residence i US Address 1 3438 LIVINGSTON LANE Address 2 City CARROLLTON State/Province TX Countryi US <th< td=""><td>Resid</td><td>ence Inform</td><td>ation (Select</td><td>One)</td><td>● US</td><td>Residency</td><td>/ 0</td><td>No</td><td>n US Res</td><td>idency</td><td>○ Active</td><td>e US Military Service</td><td>e</td></th<> | Resid | ence Inform | ation (Select | One) | ● US | Residency | / 0 | No | n US Res | idency | ○ Active | e US Military Service | e |
| Mailing Address of Applicant: Address 1 | City | ity DALLAS | | | State/ | Province | TX | | Country | of Re | sidence i | US | |
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| Address 2 | Mailing | g Address o | f Applicant: | • | | | | | | | | | |
| City DALLAS Postal Code | Addres | ss 1 | 5968 W | EST NO | RTHWE | EST HIGHV | NAY | | | | | | |
| Postal Code 75225 Countryi US Applicant 2 Remove Applicant Authority Inventor Legal Representative under 35 U.S.C. 117 Party of Interest under 35 U.S.C. 118 Prefix Given Name | Addres | ss 2 | #1813 | | | | | | | | | | |
| Applicant 2 Applicant Authority Inventor Clegal Representative under 35 U.S.C. 117 Party of Interest under 35 U.S.C. 118 Prefix Given Name Middle Name Family Name Suffix DAVID KENT MATHEWS Residence Information (Select One) US Residency Non US Residency Active US Military Service City CARROLLTON State/Province TX Country of Residence US Citizenship under 37 CFR 1.41(b) US Mailing Address of Applicant: Address 1 3438 LIVINGSTON LANE Address 2 City CARROLLTON State/Province TX Postal Code 75007 Country US All Inventors Must Be Listed - Additional Inventor Information blocks may be generated within this form by selecting the Add button. Correspondence Information: | City | DALLAS | 3 | | | State/Provi | | | e/Provin | се | TX | | |
| Applicant Authority Inventor OLegal Representative under 35 U.S.C. 117 OParty of Interest under 35 U.S.C. 118 Prefix Given Name Middle Name Family Name Suffix DAVID KENT MATHEWS Residence Information (Select One) US Residency ONon US Residence US City CARROLLTON State/Province TX Country of Residence US Citizenship under 37 CFR 1.41(b) US Mailing Address of Applicant: Address 1 3438 LIVINGSTON LANE Address 2 City CARROLLTON State/Province TX Postal Code 75007 Country US All Inventors Must Be Listed - Additional Inventor Information blocks may be generated within this form by selecting the Add button. Correspondence Information: | Postal | Code | 75225 | | | Country i US | | | | | | | |
| Prefix Given Name Middle Name Family Name Suffix DAVID KENT MATHEWS Mort US Residency Active US Military Service City CARROLLTON State/Province TX Country of Residence i US **Balling Address of Applicant: **Address 1 | Applic | ant 2 | ' | | | | | | | | | Remove | |
| Prefix Given Name Middle Name Family Name Suffix DAVID KENT MATHEWS Mort US Residency Active US Military Service City CARROLLTON State/Province TX Country of Residence i US **Balling Address of Applicant: **Address 1 | Applic | ant Authori | ty • Inventor | ○Le | gal Representative under 35 U.S.C. 14 | | | J.S.C. 117 | Party of Interest under 35 U.S.C | | | .C. 118 | |
| Residence Information (Select One) US Residency Non US Residency Active US Military Service City CARROLLTON State/Province TX Country of Residence i US Citizenship under 37 CFR 1.41(b) i US Mailing Address of Applicant: Address 1 3438 LIVINGSTON LANE Address 2 TX City CARROLLTON State/Province TX Postal Code 75007 Countryi US All Inventors Must Be Listed - Additional Inventor Information blocks may be generated within this form by selecting the Add button. Correspondence Information: | | | | | Middle Name | | | Family Name | | | Suffix | | |
| City CARROLLTON State/Province TX Country of Residence i US Citizenship under 37 CFR 1.41(b) i US Mailing Address of Applicant: Address 1 3438 LIVINGSTON LANE Address 2 City CARROLLTON State/Province TX Postal Code 75007 Countryi US All Inventors Must Be Listed - Additional Inventor Information blocks may be generated within this form by selecting the Add button. Correspondence Information: | | DAVID | | | KENT | | | | MATHEWS | | | | |
| Citizenship under 37 CFR 1.41(b) i US Mailing Address of Applicant: Address 1 3438 LIVINGSTON LANE Address 2 City CARROLLTON State/Province TX Postal Code 75007 Countryi US All Inventors Must Be Listed - Additional Inventor Information blocks may be generated within this form by selecting the Add button. Correspondence Information: | Resid | ence Inform | ation (Select | One) | US | Residency | / 0 | No | n US Res | esidency Active US Military Service | | | Э |
| Mailing Address of Applicant: Address 1 3438 LIVINGSTON LANE Address 2 City CARROLLTON State/Province TX Postal Code 75007 Countryi US All Inventors Must Be Listed - Additional Inventor Information blocks may be generated within this form by selecting the Add button. Correspondence Information: | City | CARROLLT | ON | | State/ | Province | TX | | Country | y of Re | sidence i | US | |
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| Address 2 City CARROLLTON State/Province TX Postal Code 75007 Countryi US All Inventors Must Be Listed - Additional Inventor Information blocks may be generated within this form by selecting the Add button. Correspondence Information: | Mailing | g Address o | f Applicant: | • | | | | | | | | | |
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| All Inventors Must Be Listed - Additional Inventor Information blocks may be generated within this form by selecting the Add button. Correspondence Information: | City | CARRO | LLTON | | | | 5 | State | e/Provin | се | TX | | |
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An Address is being provided for the correspondence Information of this application.

For further information see 37 CFR 1.33(a).

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| Application Da | Application Data Sheet 37 CFR 1.76 | | | t Number | PHLY-2 | 4,732 | | |
| Application Da | ila Sile | et 37 CFK 1.76 | Application Nur | Application Number | | | | |
| Title of Invention METHOD AND APPARATUS FOR COMPLETING, SECURING AND COND TRANSACTION | | | | | ONDUCTING AN | E-COMMEI | RCE | |
| Customer Number 25883 | | | | | | | | |
| Email Address | | ghowison@dalpat.co | om | | | Add Email | Remov | e Email |
| Application Ir | nform | | | | | | | |
| Title of the Invent | ion | METHOD AND APF | | MPLETING, \$ | SECURIN | G AND CONDUCT | TING AN E- | - |
| Attorney Docket I | Number | PHLY-24,732 | | | | ıs Claimed 🔀 | | |
| Application Type | | Nonprovisional | | | | | | |
| Subject Matter | | Utility | | | | | | |
| Suggested Class | (if any) | | Sub Class (if any) | | | | | |
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| Total Number of I | Drawing | Sheets (if any) | | Suggested Figure for Publication (if any) | | | | |
| Publication | nforn | nation: | | | | | | |
| Request Early Publication (Fee required at time of Request 37 CFR 1.219) | | | | | | | | |
| Request Not to Publish. I hereby request that the attached application not be published under 35 U.S. C. 122(b) and certify that the invention disclosed in the attached application has not been and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. | | | | | | | | |
| | | | | | | | | |

Representative Information:

Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Enter either Customer Number or complete the Representative Name section below. If both sections are completed the Customer Number will be used for the Representative Information during processing.

Please Select One:

Oustomer Number

US Representative (37 CFR 11.9)

Customer Number

Domestic Priority Information:

This section allows for the applicant to claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c). Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78(a)(2) or CFR 1.78(a) (4), and need not otherwise be made part of the specification.

| Prior Application | on Status | Patented | | | Ren | nove |
|-----------------------------------|-------------------------|--------------|-----------------------------|-----------------------------|---------------|----------------------------|
| Application Number | Con | tinuity Type | Prior Application Number | Filing Date (YYYY-MM-DD) | Patent Number | Issue Date (YYYY-MM-DD) |
| 09/382426 | Continuation in part of | | 09/378221 | 1999-08-19 | 6745234 | 2004-06-01 |
| Prior Application Status Abandone | | Abandoned | | | Ren | nove |

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| Application Da | ata Shoot 37 CED 1 76 | Attorney Docket Number | PHLY-24,732 |
|------------------------------------|----------------------------------|-------------------------|---------------------------------|
| Application Data Sheet 37 CFR 1.76 | | Application Number | |
| Title of Invention | METHOD AND APPARATUS TRANSACTION | FOR COMPLETING, SECURIN | IG AND CONDUCTING AN E-COMMERCE |

| Application Number Continuity | | | inuity Type | Prior Application Num | nber | Filing Date (YYYY-MM-DD) | |
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| 09/378221 | Continuation in part of | | 09/151471 1998-09- | | 1998-09-11 | -11 | |
| Prior Applicati | Prior Application Status Patented | | | Remove | | | |
| Application Number | Con | tinuity Type | Prior Application Number | Filing Date (YYYY-MM-DD) | Pate | ent Number | Issue Date (YYYY-MM-DD) |
| 09/378221 | Continuation in part of 09/151530 | | | 1998-09-11 | 609 | 8106 | 2000-08-01 |
| Additional Domestic Priority Data may be generated within this form by selecting the Add button. | | | | | | | |

Foreign Priority Information:

This section allows for the applicant to claim benefit of foreign priority and to identify any prior foreign application for which priority is not claimed. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(a).

| | | Re | move | | | | |
|--|-----------|---------------------------------|------------------|--|--|--|--|
| Application Number | Country i | Parent Filing Date (YYYY-MM-DD) | Priority Claimed | | | | |
| | | | Yes No | | | | |
| Additional Foreign Priority Data may be generated within this form by selecting the Add button. | | | | | | | |

Assignee Information:

| Providing this information in the application data sheet does not substitute for compliance with any requirement of part 3 of Title 37 of the CFR to have an assignment recorded in the Office. | | | | | | | | |
|---|-------------------|------------------|--------|--|--|--|--|--|
| Assignee 1 | | | Remove | | | | | |
| If the Assignee is an Organization check here. | | | | | | | | |
| Organization Name | LV Partners, L.P. | | | | | | | |
| Mailing Address Inform | nation: | | | | | | | |
| Address 1 | 2626 COLE AVENUE | 2626 COLE AVENUE | | | | | | |
| Address 2 | SUITE 400 | SUITE 400 | | | | | | |
| City | DALLAS | State/Province | TX | | | | | |
| Country i US | | Postal Code | 75204 | | | | | |
| Phone Number | | Fax Number | | | | | | |
| Email Address | | · | | | | | | |
| Additional Assignee Data may be generated within this form by selecting the Add button. Add Add | | | | | | | | |

Signature:

| A signature of the applicant or representative is required in accordance with 37 CFR 1.33 and 10.18. Please see 37 CFR 1.4(d) for the form of the signature. | | | | | | |
|--|----------------------------------|-----------|---------|---------------------|------------|--|
| Signature | /Gregory M. Howison Reg. #30646/ | | | Date (YYYY-MM-DD) | 2008-05-06 | |
| First Name | GREGORY | Last Name | HOWISON | Registration Number | 30646 | |

PTO/SB/14 (06-07) Approved for use through 06/30/2007. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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| Application Da | ata Sheet 37 CFD 1 76 | Attorney Docket Number | PHLY-24,732 | |
|------------------------------------|--|------------------------|-------------|--|
| Application Data Sheet 37 CFR 1.76 | | Application Number | | |
| Title of Invention | METHOD AND APPARATUS FOR COMPLETING, SECURING AND CONDUCTING AN E-COMMERCE TRANSACTION | | | |

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.